PATENT

ATTORNEY DOCKET: 64118.000141

IE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number

10/606,409

Confirmation No.:

5663

Applicant

Samuel M. SHAOLIAN et al.

Filed

June 25, 2003

Title

TRANSPEDICULAR INTERVERTEBRAL DISK ACCESS

METHODS AND DEVICES

TC/Art Unit

3733

:

Examiner:

J. Baxter

Docket No.

64118.000141

Customer No.

21967

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants submit the attached Forms PTO SB/08A and PTO SB/08B (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

The attached documents were cited by the European Patent Office in an International Search Report dated April 7, 2006, in related International Application No. PCT/US2005/043185, and relevance as asserted by the Searching Authority is indicated on the enclosed copy of the Search Report.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

Customer No. 21967 Attorney Docket No.: 64118.000141



Consideration of the foregoing plus the prompt return of a copy of the enclosed Forms PTO SB/08A and PTO SB/08B with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

It is respectfully submitted that no fee is required for consideration of this information. However, in the event any fee is deemed necessary, the Commissioner is authorized to charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Hunton & Williams LLP Intellectual Property Department 1900 K Street, N.W. **Suite 1200** Washington, DC 20006 (202) 955-1500 (telephone) (202) 778-2201 (facsimile)

By:

Registration No. 42,738

JUN 4 5 2006 Substitute of 1449A/PTO Application Number 10/606.409

PTO/SB/08A (08/03) (modified) U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Filing Date June 25, 2003 INFORMATION DISCLOSURE First Named Inventor Samuel M. SHAOLIAN et al. STATEMENT BY APPLICANT Art Unit 3733 (use as many sheets as necessary) Examiner Name J. Baxter Sheet 1 of 2 Attorney Docket Number 64118.000141 U.S. PATENT DOCUMENTS Pages, Columns, Lines, **DOCUMENT NUMBER** Cite Publication Date Name of Patentee or Where Relevant Passages or MM-DD-YYYY Number - Kind Code (if known) Applicant of Cited Document Relevant Figures Appear

Initials US-2002/183758 A1 12-05-2002 Middleton et al. US-6,059,734 A 05-09-2000 Yoon et al. US-6,110,127 A 08-29-2000 Suzuki et al. US-5,178,625 A 01-12-1993 Groshong et al. US-6,136,014 A 10-24-2000 Sirimanne et al. US-US-US-US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENT TRANSLATI ON Pages, Columns, Lines, *Examine Cite **Publication Date** Country Code Number-Kind Name of Patentee or Where Relevant Passages Initial Ćode (if known) MM-DD-YYYY Applicant of Cited Document or Relevant Figures Appear YES

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number 10/606,409

Filing Date June 25, 2003

First Named Inventor Samuel M. SHAOLIAN et al.

Art Unit 3733

Examiner Name J. Baxter

Attorney Docket Number 64118 000141

Sheet 2 of 2 Attorney Docket Number 64118.000141 OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the TRANSLATION *Examine Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials No. number(s), publisher, city and/or country where published YES NO International Search Report issued by the European Patent Office on April 7, 2006, in International Application No. PCT/US2005/043185 (7 pages) П DATE CONSIDERED **EXAMINER SIGNATURE** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through

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